

the cylindrically symmetric core features. The draw preform shape may be changed by any of several methods such as etching, sawing, drilling, or grinding.

5 In an embodiment of the method, the preform is altered by forming holes or surface indentations therein. Subsequent drawing of the altered preform into a waveguide fiber of circular cross section causes a circularly symmetric core to become radially or azimuthally asymmetry.

10 In yet another embodiment of the method, two or more core preforms are fabricated and inserted into a glass tube to form a preform assembly. The waveguide fiber resulting from drawing the preform assembly has the asymmetry of the assembly. Spacer glass particles or rods may be incorporated into the tube-core preform assembly.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

15 Fig. 1A is a cross sectional view of an embodiment of the waveguide or preform of the invention, having a central core design.

Fig. 1B is the index profile taken through the 1B section of Fig. 1A.

Fig. 1C is the index profile taken through the 1C section of Fig. 1A.

Fig. 1D is a cross sectional view of an embodiment of the waveguide or preform of the invention having a central core design.

20 Fig. 1E is the index profile taken through the 1E section of Fig. 1D.

Fig. 1F is the index profile taken through the 1F section of Fig. 1D.

Fig. 1G is a cross sectional view of an embodiment of the waveguide or preform of the invention, having an embedded core design.

25 Fig. 2A is a cross sectional view of an embodiment of the waveguide or preform having an embedded core design.

Fig. 2B is the index profile taken through the 2B section of Fig. 2A.

Fig. 2C is a cross sectional view of an embodiment of the waveguide or preform having an embedded core design.

Fig. 2D is the index profile taken through the 2D section of Fig. 2C.

30 Fig. 2E is a cross sectional view of an embodiment of the waveguide or preform having an embedded core design.

Fig 2F is a cross sectional view of an embodiment of the waveguide or preform having an embedded core design.

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Fig. 3. is a cross sectional view of the novel waveguide or preform containing voids.

Fig. 4A & B, and 4C & D show, in cross section, the transfer of the preform outer shape to the core after drawing.

Fig. 5A & B illustrate, in cross section, the affect on the core shape of preform voids.

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Fig. 6A & B, and 7A & B illustrate a cross section of a preform core and tube assembly and the resulting waveguide after drawing the assembly.

Fig. 8A & B illustrate a cross section of a notched segmented core preform and the resulting waveguide after draw.

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#### **DETAILED DESCRIPTION OF THE INVENTION**

The core 2 of Fig. 1A is made azimuthally asymmetric by indentations 4. In this illustration of the novel preform or waveguide fiber, the indentations comprise the same material as that of the clad layer 6. The perpendicular sections through the core, 1B and 1C are set forth in Fig. 1B and Fig. 1C, respectively and, show the azimuthal variation in width of the step index profile. This particular profile is symmetric in the radial direction.

The preform or waveguide core of Fig. 1D is both radially and azimuthally asymmetric. In this illustration of the novel waveguide or preform, the core is divided into four sectors. Each of the two diagonally opposed sectors, 8 and 10 are mirror images of each other as is shown by the sections 1F and 1E taken through the core. In Fig. 1E, the radial dependence of the 1E section is shown as 16, a rounded step or an  $\alpha$ -profile. In Fig. 1F, the profile 18 of the 1F section is a step index profile. The clad portions 12 and 14 may comprise any material having a refractive index lower than that of the adjacent core region. That is, the composition of the clad layer is generally limited only by the condition that the core clad structure guide rather than radiate light launched into the waveguide.

Fig. 1G is an example of a more complex structure in accord with the novel preform and waveguide. In this illustration waveguide core or core preform 20 comprises a segmented core having central region 22, and adjoining annular regions 28, 24, and 26. Each region is characterized by a respective relative refractive index  $\Delta$  %, an index profile and an area determined by radii 32, 34, 36, 38 and 40. For example, central region 22 and annular region 24 may comprise respective germanium doped silica glasses and annular regions 28 and 26 may comprise silica and the relative sizes of the areas may be as shown. The asymmetry is introduced into the core preform by embedded glass volumes 30, which in general have a refractive index different from that of either annular segment 24 or 26 contacted by the glass volumes 30.

The glass volumes 30 can be formed by sawing or grinding, for example, followed by filling of the volumes with a glass by any of a number of means

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including deposition. The distribution of light energy carried by core 20 will be determined by the relative refractive indexes and sizes of the segments

22, 28, 24, 26, and 30. The functional properties of the waveguide are determined by the distribution of light energy across the core preform or core 20.

5 In another embodiment of the novel preform or waveguide, the core is comprised of a matrix glass 50 having embedded glass volumes 42, 44, and 48 as illustrated in Fig. 2A. The glass volumes extend from end to end of the preform or the waveguide drawn from the preform. The clad glass layer 52 surrounds the core 50. The refractive index of core glass 50 is higher than that of clad layer 52. Section 2B through one of the embedded volumes is shown in  
10 Fig. 2B as a step index profile. The sizes of cross sectional area of the embedded glass volumes 42, 44 and 48 can be the same or different and a number of relative orientations relative to the clad glass layer are possible.

The structure of Fig. 2A can made by drilling a preform, smoothing the walls of the resulting holes, and filling the holes with glass powder or rods. As  
15 an alternative, the core can be formed of rods which are then inserted into a holding tube, either with or without the use of spacer glass rods or particles. The need for a holding tube can be eliminated by welding the rods together using appropriate glass spacer material. The overclad layer can be deposited over the welded assembly of rods or can be fabricated as a tube which is  
20 shrunk onto the assembly before or during draw.

Another embodiment which includes a matrix glass and a plurality of embedded glass volumes is shown in Fig. 2C. Here the gross structure of waveguide 54 is similar to that of Fig. 2A, except that the embedded glass  
25 volumes 56, 58 and 60 each have a segmented core refractive index profile. An example of the segmented core profile is shown in Fig. 2D, which is the cross section through one of the embedded volumes in which a central region of relatively high  $\Delta$  % is surrounded by two annular regions, 62 and 64. In the illustration, the first annulus 62 is lower in  $\Delta$  % than the second annulus 64. It is understood that each of the segments may have a radial dependence  
30 selected from a plurality of possibilities, such as an  $\alpha$ -profile or a rounded step

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profile, and the relative  $\Delta$  %'s of the segments can be adjusted to provide different waveguide functional properties.

The methods of making the preform or waveguide of Fig. 2C are essentially identical to the method of making the preform or waveguide of Fig. 2A.

Two additional embodiments of this preform or waveguide type are illustrated in Figs. 2E & 2F. The embedded glass volumes 66, 68, and 70 in Fig. 2E have a rectangular cross section and are arranged substantially at the apexes of an equilateral triangle. Other arrangements of the embedded glass volumes are contemplated such as arrangement along a diameter of the core region. The core region 72 can comprise a number of shapes and compositions. In the simple example illustrated in Fig. 2E, the core glass 72 is a step index profile and, as is required to guide light, has a higher refractive index than at least a portion of the clad layer 74.

In Fig. 2F a configuration comprising five embedded glass volumes is illustrated. Here, four glass volumes of diamond cross section 76, 78, 80 and 82 are symmetrically arranged about a circular central core region 84. It is evident that numerous variations of this design are possible. For example the refractive indexes of the embedded volumes 76, 78, 80, 82, and 84 can each have a different relative index as compared to that of the core 86.

As is shown in Fig. 3, the embedded volumes 88 in a preform or a waveguide can be voids. A waveguide having elongated voids along the long axis can be made by forming elongated voids, for example, by drilling or etching, in a core or draw preform. The index of the core glass 90 is necessarily different from that of the voids, thus providing an asymmetrical core region. In the case in which Fig. 3 represents a draw preform, the voids may be collapsed during the draw process to produce an asymmetric core. The shape of the core region after collapse of the voids is determined by the relative viscosity of core material 90 and clad layer material 92. Control of the relative viscosity of the glasses is maintained by control of temperature gradient in the portion of the preform being drawn. The relative viscosity also depends upon core and clad glass composition.

Figs. 4A and 4B illustrate the transfer of a preform shape, 98 in Fig. 4A, from the clad layer portion 94 of the preform, to the core portion 102 in Fig. 4B of a waveguide 100 drawn from preform 98. The transfer occurs as shown in Figs. 4A and 4B when the initial symmetry of the preform core 96 is the same as the symmetry of the waveguide clad layer 104. Cylindrical symmetry is shown because this is the symmetry most compatible with current preform fabrication and draw processes. Other symmetries are possible, for example, by partial transfer of the preform shape to the waveguide core shape. i.e., the final shape of the waveguide departs from cylindrical symmetry.

A cross section of a segmented core preform having a square shape is shown in Fig. 4C. After heating and drawing the preform into a cylindrical waveguide, the segmented core, 106 in Fig. 4D, takes on square shape due to the viscous flow of the core material which takes place to accommodate the cylindrically shaped surface of the clad layer.

In an analogous manner, the preform of Fig. 5A, having core 110, clad layer 112 and elongated voids 108, will produce an asymmetric core when drawn into a cylindrically shaped waveguide. However, in this case the preform is cylindrical, and the movement of the core material is due to the filling of the voids during draw. As long as the preform shape is preserved as the preform is drawn into a waveguide, the core must distort, i.e., become asymmetric, to fill the voids.

### Example

A preform of the type shown in Fig. 5A was made using the outside vapor deposition process. The core region 110 was germanium doped silica and the clad layer 112 was silica. Voids 108 were formed in the preform by drilling followed by smoothing of the walls of the void using an etching solution. The preform was drawn into a waveguide fiber having the zero dispersion wavelength in the 1500 nm operation window, i.e., the waveguide was dispersion shifted. The waveguide had an unusually large mode field diameter of 10.4  $\mu\text{m}$  as compared to mode field diameters in the range of 7  $\mu\text{m}$  to 8  $\mu\text{m}$  for dispersion shifted waveguides having an azimuthally symmetric core.



A method of making an asymmetric core is illustrated in Figs. 6A and 6B. Segmented core preforms 114, 116 and 118 are fabricated using any of several known methods including, outside vapor deposition, axial vapor deposition, plasma deposition, or modified chemical vapor deposition. The core preforms are inserted into tube 122 where they are held in place by spacer rods 120. The rods may be made of silica, doped silica or the like. If needed, a clad layer 124 may be deposited on the tube. The preform assembly may now be drawn into a waveguide fiber having cores 130, 132, and 134 embedded in core glass 128 and surrounded by clad glass layer 126 as shown in Fig. 6B. The assembly as shown in Fig. 6A may be drawn directly. As an alternative, the deposited clad layer may be consolidated prior to draw. In addition, before clad deposition, the tube, core preform and spacer rod assembly may be heated sufficiently to soften the surfaces thereof to cause them to adhere to each other, thereby forming a more stable structure for use in the overclad or draw process.

The method of making an asymmetric core shown in Figs. 7A and 7B is closely related to that illustrated in Figs. 6A and 6B. In Fig. 7A the core is bounded by annulus 136 which serves to better contain light propagating in step index core preforms 138, 140, and 142. As described above, spacer rods or glass powder may be used to stabilize the relative positions of the core preforms within the annulus. The assembly of core preforms, optional spacer material, annulus and overclad material may be drawn directly or first consolidated and then drawn. The resulting waveguide fiber is shown in Fig. 7B.

A final example of a method of forming an asymmetric core is shown in Figs. 8A and 8B. In Fig. 8A a preform has a segmented core having central region 144, first annular region 146, and second annular region 148. The preform has been ground or sawed or the like to form notches 152. The notches may be empty or filled with material 150 which is a material different in composition from that of clad layer 154. The preform assembly is drawn to form a waveguide having an asymmetric core as shown in Fig. 8B. Here again

the assembly may be drawn directly or deposition, consolidation, or tacking steps may be carried out before draw to hold the parts of the preform in proper relative registration.

5. Although particular embodiments of the invention have been disclosed and described herein, the invention is nonetheless limited only by the following claims.